| Compliance Areas | Responsible Senior Administrator  | Responsible Functional Office/Officer  |
| --- | --- | --- |
| Animal Research | Senior Vice President for Research (Dr. Michael Diamond)  | Chair, Institutional Animal Care and Use Committee(Dr. Ruth Harris) IACUC@augusta.edu |
| Biomedical Research Compliance | Senior Vice President for Research (Dr. Michael Diamond) | Chair, Institutional Biosafety Committee(Dr. Stuart Thompson)biosafety@augusta.edu |
| Clinical Affairs | EVP of Medical Affairs & Integration |  |
| Comprehensive Loss Control  | VP Legal Affairs and General Counsel(Chris Melcher) &Executive Vice President of Finance / CBO (Yvonne Turner) | Senior Legal Advisor ( Greg Bryan) &Director, University Supply Management(Gregory Woodlief) |
|  |
| Conflicts of Interest: Faculty/Staff  | Vice President, Audit, Compliance, Ethics & Risk Management (“ACERM”)(Clay Sprouse) | Office of Compliance and Enterprise Risk Management (conflicts@augusta.edu)   |
| Conflicts of Commitment/Outside Professional Activities | Vice President for Human Resources(Rebecca Carroll)  | Director for Employee Relations & Org Development (Dr. Debra Arnold) |
| Contracts and Agreements | VP Legal Affairs and General Counsel(Chris Melcher)  | Respective Signatory Authority or Designee  |
| Cooperative Organizations (MCGHI, Foundations, etc.)  | President ( Dr. Brooks Keel )  | VP Legal Affairs and General Counsel(Chris Melcher) |
| Disaster Preparedness/Emergency Management  | Director of Office of Critical Event Preparedness and Response(Joe Webber) | Emergency Management Program Coordinator  |
| Discrimination - Employees (sexual harassment, ADA, EEOC/AA, etc.)  | Vice President for Human Resources(Rebecca Carroll) | Employee AA/EEO Officer (Steve Goldberg )  |
| Discrimination - Students (sexual harassment, ADA, EEOC/AA, etc.)  | Executive Vice President for Academic Affairs & Provost(Neil J. MacKinnon) | Vice President for Enrollment & Student Affairs(Susan Davies)  |
| Body Donations | Coordinator of the Anatomical Donation Program(David Adams) | cba@augusta.edu |
| Environmental Health and Safety | Vice President, ACERM (Clay Sprouse) | Associate Vice President, Environmental Health & Safety(Phil Young)  |
| Export Control  | Senior Vice President for Research (Dr. Michael Diamond) | Director, Research Ethics and Compliance(Laura Meyer Chapman) |
| FacilitiesProperty Management | Vice President, Facilities, (Ronald Booth) | Associate VP for Facilities Services(Chris Miller)  |
| False Claims Act | Vice President, ACERM (Clay Sprouse) |  |
| Financial Operations (including IRS and GA Tax)Securities and Exchanges  | Executive Vice President of Finance(Yvonne Turner) | VP for Finance/Controller  |
| Associate VP for Budget, Planning & Analysis  |
| Associate Vice President for Government Relations (Margie Miller)  |
| Fraud, Waste, and Abuse | Vice President, ACERM (Clay Sprouse) | Director, Office of Internal Audit |
| HIPAA/Privacy/HITECH/FERPA | Vice President, ACERM (Clay Sprouse) | Enterprise Privacy Officer (Micki Jernigan) |
| Government Audits, Investigations, and Reporting Requirements | Vice President, ACERM (Clay Sprouse) | Director, Office of Internal Audit |
| Human Research Protection | Senior Vice President for Research (Dr. Michael Diamond) | Director, Human Research Protections (Ivy Tillman, MSM, CCRC, CIP) |
| Human ResourcesWorkman’s Compensation | Vice President for Human Resources(Rebecca Carroll) | Director for HR Service Center (Morgan Chavous) |
| Immigration and International Global Operations | Executive Vice President for Academic Affairs & Provost(Neil J. MacKinnon) | Post Docs/Faculty Director of IPSO & Assistant VP of International Initiatives (LD Newman) |
| Students Chief Diversity Officer(Tiffany Townsend)  |
| Staff Vice President for Human Resources(Rebecca Carroll) |
| Information Technology & Security  | VP for Information Technology(Michael Casdorph) | Chief Information Security Officer(Heather Roszkowski)  |
| Laboratory Animal Services | Senior Vice President for Research (Dr. Michael Diamond) | Director, Division of Lab Animal Services (Doug Taylor, DVM) |
| Land Use and Investment Property |  |  |
| Labor and Employment Issues: | Vice President for Human Resources(Rebecca Carroll) | Director of Employee Relations (Debra Arnold) |
| Supply  | Executive Vice President of Finance / CBO (Yvonne Turner)  | Director, University Supply Management(Gregory Woodlief) |
| NCAA and Sports Compliance | Director of Athletics (Clint Bryant) | Assistant Director of Athletics for Compliance(Christina Whetsel) |
| Occupational Health (for animal researchers) | Senior Vice President for Research (Dr. Michael Diamond) | Associate Vice President, Environmental Health & Safety(Phil Young)  |
| Personnel - Faculty  | Executive Vice President for Academic Affairs & Provost(Neil J. MacKinnon) | Vice President for Human Resources(Rebecca Carroll) Associate Provost for Faculty Affairs(Kathy Browder) |
| Personnel - Non Faculty  | Executive Vice President of Finance / CBO (Yvonne Turner)  | Vice President for Human Resources(Rebecca Carroll)  |
| Records Retention & Disclosure (exclude student records)  | VP Legal Affairs and General Counsel(Chris Melcher)  | VP Legal Affairs and General Counsel(Chris Melcher)  |
| Residents & Fellows (excluding Post Docs)  | Dean, School of Medicine (Dr. David Hess)  | Senior Associate Dean, GMEDesignated Institutional Official (Dr. Natasha Savage) |
| Dean, School of Dentistry (Carol Lefebvre)  | SOD-Associate Dean for Academic Affairs & Advanced Education  |
| Retaliation | Vice President for Human Resources(Rebecca Carroll)  | Director for Employee Relations & Org Development (Dr. Debra Arnold) AA/EEO Officer (Steve Goldberg ) Compliance Analyst (Ben Hutton) |
| Safety & Security(CLERY Act) Campus Crime Statistics | AVP & Chief of Public Safety and Police | AUPD - Administrative Captain |
| Scientific (Research) Misconduct  | Senior Vice President for Research (Dr. Michael Diamond) | Director, Research Ethics & Compliance (Laura Meyer Chapman)  |
| Student Affairs(Student Records) | Executive Vice President for Academic Affairs & Provost(Neil J. MacKinnon) | Registrar (Heather Metress) Director for Financial Aid/ (Debra Turner) |
| Student Financial Aid  | Executive Vice President for Academic Affairs & Provost(Neil J. MacKinnon) | Director for Financial Aid/ (Debra Turner)  |
| Technology Transfer/Intellectual Property  | Senior Vice President for Research (Dr. Michael Diamond) | Associate VP for Office of Innovation Commercialization(Chris McKinney) |
| Title IX  | Vice President, ACERM (Clay Sprouse) | Title IX Coordinator(Julie Kneuker) |