

CONFLICT OF INTEREST DISCLOSURE

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Conflict of Interest

- A conflict of interest arises when an employee may benefit personally (or appear to do so) from dealings with an entity or person conducting business with the University or its affiliated entities, including indirect benefits such as to family members or businesses with which the person is closely associated.
- These arrangements may compromise (or have the appearance of compromising) the member's objectivity or independent professional judgment in the discharge of its fiduciary duties and responsibilities.

Conflict of Interest

- Conflicts are not necessarily “evil”
- Identifying a potential or actual conflict of interest and then electing to do nothing about it is the problem!
 - In a small community like ours , our opportunities to obtain certain services may be limited certain services.
 - Sometimes, the best organization to tackle a project is the one where your family member works.
 - Discounts extended through personal referrals can be of considerable value to the College.

Conflict of Interest Process

- Disclose
- Review
- Manage

Email Notification



Fri 1/6/2017 10:41 AM

Augusta Compliance Department (Do not click reply. Use the link inside the email to respond.) <noreply@coi-smart.com>

Annual Conflict of Interest Disclosure for AU Health System Boards

To: Hardison, Angelica

Dear John:

You are receiving this e-mail because you are required to complete an Annual Disclosure of Outside Interests and Activities based on your role as a member of AU Medical Center/Health System Board of Directors. The purpose of this questionnaire is to ensure that your outside interests and activities do not create a conflict of interest or a conflict of commitment with your institutional responsibilities for the Augusta University Enterprise. The Questionnaire is brief and straightforward. The electronic system will guide you to answer the questions and make any necessary disclosures.

Please complete the questionnaire Test Questionnaire no later than January 12, 2017.

If you have an Augusta University Network ID, you may access the site by copying and pasting the following URL into a web browser:

<https://augusta.coi-smart.com>

Upon doing so you will be asked to enter your Augusta University network ID and password.

Otherwise, if you are outside the AU network, click the following link:

<https://augusta-nonshib.coi-smart.com>


You will be prompted to establish your password.

If you experience any issues regarding the questionnaire, please call Jim Rush at (706)721-1626, or email jrush@augusta.edu.



Login Screen


← → <https://augusta-nonshib.coi-smart.com/login.php> Georgia Regents University ... x

 **AUGUSTA**
UNIVERSITY

Login ID :

Password :

LOGIN [Forgot Login ID or Password?](#)

[Contact Us](#) | [About Us](#) | [Privacy Policy](#) 

Initiate Questionnaire

The screenshot displays a web browser window with the URL <https://augusta.coi-smart.com/main.php>. The browser tabs include "Georgia Regents...", "Augusta University", "PolicyTech 9.5.1.264", "Human Resources", and "new-policies".

Account Admin

- Questionnaires
- Review Action Types
- Reviewer Assignments (By Demographics)
- Reviewer Assignments (By Respondents)
- Users
- Roles
- Departments
- College or Business Units
- Job Titles
- Security Profiles
- Settings
- Quick Text
- Email Templates
- Permitted Values
- Administrator Guide
- Pre-Publish Questionnaire Checklist

Education and Training

Reports

Manager - User Lookup

Manual Entry

Reviewer

Announcements [EDIT THIS ANNOUNCEMENT](#)

The current "Conflict of Interest" policy applicable to Augusta University and its affiliated entities (AU Health System, Inc., d/b/a AU Health System (AUHS), MCG Health, Inc., d/b/a AU Medical Center (AUMC), The Medical College of Georgia Physicians Practice Group Foundation, d/b/a AU Medical Associates (AUMA), and the Augusta University Research Institute (AURI)), requires all covered individuals to disclose any conflicts and/or potential conflicts to the Office of Compliance and Enterprise Risk Management.

Please access the questionnaire and answer each question thoroughly. If there are any questions please contact the Office of Compliance and Enterprise Risk Management at (706)721-0900.

Need help? Click [here](#) for our Quick Reference Card.

[CLICK HERE TO ACCESS YOUR PROFILE](#)

[CLICK HERE TO ACCESS YOUR QUESTIONNAIRE\(S\)](#)

Conflict of Interest

- Certain Health System employees are required to avoid any potential or actual conflict of interest
- If there is an actual/potential conflict it must be reported so that it can be addressed
- Managing conflicts of interest

Income

Did you or any *Immediate Family Member(s)*

- Receive any *income* from a publicly-traded entity which exceeds \$5,000?
- Hold any *equity interest* in a non-publicly traded entity?
- Receive any *income* from any non-publicly traded entity valued at \$5,000 or more?
- Receive income from intellectual property rights (such as *patents, copyrights* or *royalties*) from entities other than a Augusta University enterprise entity?

You are asked to report only those interests related to your *Institutional Responsibilities*.

(Note: Information must be provided for each entity with which you or a member of your Immediate Family has a financial interest. To add additional entities please check the "+" sign at the bottom of this page).

Consulting Relationship

Federal regulations and the Augusta University enterprise entity policy on [Individual Conflicts of Interest](#) require that *Covered Individuals* report on an annual basis activities and/or relationships including *consulting, product development, sponsorship, public service, and/or educational activities* related to your *Institutional Responsibilities* on behalf of *Augusta University Enterprise*.

Do you participate in such Outside Activities?

Note: Information must be provided for each entity with which you or a member of your Immediate Family has a financial interest. To add additional entities please check the "+" sign at the bottom of this page

Ownership/Business Relationship

Do you or a member of your immediate family own (partially or fully) a business which is related to your Institutional Responsibilities on behalf of a Augusta University enterprise entity?

Gifts

Have you or an *Immediate Family Member* received any *gifts* of more than \$50 in the past year from an entity/sponsor that has a relationship with a Augusta University enterprise entity?

Gifts: Gifts are any transfer of an item of value (including a trip, personal belongings, or special concessions in connection with personal business) for less than fair market value, including a personal discount. Business dinners or other business outings are not considered "gifts," unless the business component is not significant or the social component does not comport with the overall professional standard of avoiding actual and apparent conflicts of interest.

Sponsored Travel Reimbursement

Were yours or *immediate family members* travel expenses paid or reimbursed for sponsored travel in the preceding calendar year (2016) in excess of \$5,000 by an individual sponsor?

Sponsored Travel includes: Travel or travel reimbursements received from domestic or foreign for-profit (e.g., industry) or a non-profit entity (e.g., foundations).

Travel Reimbursement:

- This includes any reimbursed or sponsored travel (paid on behalf of the Investigator and not reimbursed to the Investigator) related to their Institutional Responsibilities.
- Such disclosures shall include at a minimum:
 - the purpose of the trip,
 - identity of the sponsor/organizer,
 - destination,
 - duration, and
 - a determination or disclosure of monetary value, so that the institution may determine whether the travel constitutes a Financial Conflict of Interest with PHS-funded research.
- Specifically Investigators must disclose each instance of sponsored travel or sponsored travel reimbursement paid by a domestic foreign or domestic for-profit entity (e.g. industry) or a non-profit entities (e.g. foundations).

Compliance & Enterprise Risk Management

- Serves the University and the Health System
 - Location: Alumni Center (2nd Fl.) FI-2032
 - Contact Number: 706-721-0900